



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

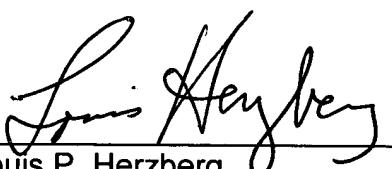
Applicants: James R. Riordan et al. Docket No.: CH920000010US1  
Serial No.: 09/821,584 Group Art Unit:  
Filed: March 29, 2001 Examiner:  
For: SYSTEM, METHOD AND SOFTWARE FOR SUPPLYING  
ACTIVATION INFORMATION TO A SUBSYSTEM

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

In order to assist in the examination of this application, and in accordance with 37 CFR 1.56, 1.97 and 1.98, copies of the documents listed on the attached form PTO-1449 are forwarded herewith.

By:   
Louis P. Herzberg  
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IBM Corporation  
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P. O. Box 218  
Yorktown Heights, New York 10598



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**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that the attached correspondence comprising:

Acknowledgment Postcard  
Information Disclosure Statement  
PTO 1449 Form with references

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents  
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on June 15, 2001

Margaret McCabe

Margaret McCabe  
(Signature of person mailing paper)

## FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S INFORMATION  
DISCLOSURE STATEMENTATTY. DOCKET NO.  
CH920000010US1SERIAL NO.  
09/821,584

APPLICANT: James R. Riordan et al.

(Use several sheets if necessary)

FILING DATE:  
March 29, 2001

GROUP:

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
AA	5,825,877	20-10-98	Dan et al.			
AB	5,978,484	02-11-99	Apperson et al.			
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
AL								
AM								
AN								
AO								
AP								

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

AQ	Patterns of Network Intrusion- James Riordan; IBM Zurich research Laboratory, Saumerstrasse 4, CH-8803 Ruschlikon, Switzerland. February 17, 2000.
AR	Environmental Key Generation Towards Clueless Agents- James Riordan and Bruce Schneier; University of Minnesota, LNCs 1419, pp. 15-24, 1998.
AS	Apoptosis- the Programmed Death of Distributed Services - Christian Tschudin
AT	
AU	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.